

DEONTOLOGICAL CODE FOR AUDITORS AND TECHNICAL EXPERTS

Content index

1. Scope and application field
- 2 Deontological code

9				
8				
7				
6				
5				
4				
3				
2	18/11/13	New logo	RSQ	AU
1	02/03/09	change of address	RSQ	AU
0	13/10/08		RSQ	AU
Rev.	Date	Type of Change	Controlled by (name and signature)	Approved by (name and signature)

DEONTOLOGICAL CODE FOR AUDITORS AND TECHNICAL EXPERTS

1. Scope and application field

This code established the behaviour and the rules that all the qualified professional people entrusted by the SMC Slovensko a.s. must respect in the performance of the activity of audit and evaluation of the quality management systems of the organization attributed to them.

The following rules must be respected 3 years before the first acceptance of the mission and 3 years after the last acceptance of the mission.

2. Deontological code

All the professional people entrusted by the SMC Slovensko a.s. during the audit, evaluation and certification of the quality management system are obliged to:

- Respect this deontological code;
- Respect the regulations and all the applicable procedures of the SMC Slovensko a.s.;
- Do not cause any damage of any type towards the image and reputation of the organization under audit of the SMC Slovensko a.s.;
- Collaborate completely to any formal inquiry caused by violations of these regulations and requested by customer organizations, by the SMC Slovensko a.s., by accreditation bodies to solve specific complaints;
- Fulfil the obligations agreed with the signature of the professional mission (if applicable);
- Do not perform promotional activities (means of information, publicity etc) that could let the organization under audit have a wrong interpretation of the meaning of the certification and also do not give them false expectations
- Behave in a correct way and also independently from any interest or pressure of any type that could jeopardize his performances towards the SMC Slovensko a.s., towards the organization under audit and towards the people of whom he is responsible during the audits;
- Do not accept fees or gifts of any type from the organizations under audit or from any other part interested in the audit results.

DEONTOLOGICAL CODE FOR AUDITORS AND TECHNICAL EXPERTS

- Employ the confidential data and information acquired during the audit only to perform the audit, with reserve, and respecting the laws in force on the privacy;
- Do not use the function/activity of auditor to obtain by the organization under audit, professional assignments concerning the planning, the implementation, the review of the quality management system of any type.
- Point out any type of previous situation damaging to the professional integrity of the auditor.

Moreover, concerning the specific mission, all the professional people entrusted by the SMC Slovensko a.s. during the audit, evaluation and certification of the quality/environmental management system, are obliged to:

- Respect the absence of any form of influence derived from interests both commercial, economical or of any other nature of the activity that is object of evaluation
- Respect the absence of any involvement in the activities connected to the systems that are object of evaluation;
- Do not provide any form of publicity concerning activities, services or other initiatives that could make easier the attainment of the certification ;
- Point out promptly to the SMC Slovensko a.s. the presence of any involvement with the organization before the acceptance of the mission

N.B. Concerning auditors employed by or owner of companies working in the sector of consultancy for the planning and / or implementing quality management systems, the previous regulations must be applied also to the colleagues or to the personnel employed or connected to them.

AUDITOR

Date, ____/____/_____

(name, surname, signature)

